



# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

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| Title of Invention | Method for handling unexpected completion packets and completion packets with a non-successful completion status |
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Application Number: 10/040702  
Confirmation Number: 2187  
First Named Applicant: David Lee  
Attorney Docket Number: 42P13768  
Art Unit: 2664  
Examiner: Chirag Shah  
Search string: ( 5390298 or 5920705 or 5968197 or 6055236 or 6084869 or 6154839 or 6359877 or 6515967 or 6519731 ).pn.

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## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date       | Patentee        | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
|      | 1        | 5390298    | 1995-02-14 | Kuszman et al.  |      |       |          |
|      | 2        | 5920705    | 1999-07-06 | Lyon et al.     |      |       |          |
|      | 3        | 5968197    | 1999-10-19 | Doiron          |      |       |          |
|      | 4        | 6055236    | 2000-04-25 | Nessett et al.  |      |       |          |
|      | 5        | 6084869    | 2000-07-04 | Fishman et al.  |      |       |          |
|      | 6        | 6154839    | 2000-11-28 | Arrow et al.    |      |       |          |
|      | 7        | 6359877    | 2002-03-19 | Rathonyi et al. |      |       |          |
|      | 8        | 6515967    | 2003-02-04 | Wei et al.      |      |       |          |
|      | 9        | 6519731    | 2003-02-11 | Huang et al.    |      |       |          |

Signature

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| Examiner Name | Date     |
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Stylesheet Version v18.0

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| init | Cite.No. | Patent No. | Date       | Patentee        | Kind | Class | Subclass |
|------|----------|------------|------------|-----------------|------|-------|----------|
| 0.4> | 1        | 5390298    | 1995-02-14 | Kuszmaul et al. |      |       |          |
|      | 2        | 5920705    | 1999-07-06 | Lyon et al.     |      |       |          |
|      | 3        | 5968197    | 1999-10-19 | Doiron          |      |       |          |
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## Signature

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| <p><b>Examiner Name</b></p> | <p><b>Date</b></p> <p>12/23/04</p> |
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| Substitute for Form 1449A/PTO (Modified)   |  |  |  | <b>Complete if Known</b> |  |                   |  |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><small>(use as many sheets as necessary)</small> |  |  |  | Application Number       |  | 10/040,702        |  |
|  |  |  |  | Filing Date              |  | December 28, 2001 |  |
|  |  |  |  | First Named Inventor:    |  | David M. Lee      |  |
|  |  |  |  | Group Art Unit           |  | 2664              |  |
|  |  |  |  | Examiner Name            |  | Chirag G. Shah    |  |
| <b>Sheet</b>   |  |  |  | <b>1</b>                 |  | <b>of</b>         |  |
|  |  |  |  | Attorney Docket Number   |  | 42390.P13768      |  |

  

| <b>U.S. PATENT DOCUMENTS</b> |                       |                          |                 |   |  |   |  |
|------------------------------|-----------------------|--------------------------|-----------------|---|--|---|--|
| Exam. Initial*               | Cite No. <sup>1</sup> | U.S. Patent Document     |                 | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |  |
|                              |                       | Number Code <sup>2</sup> | Kind (If known) |   |  |   |  |
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| <b>FOREIGN PATENT DOCUMENTS</b> |                       |                         |                     |                                   |   |  |   |                |
|---------------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| Exam. Initial*                  | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|                                 |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (If known) |   |  |   |                |
|                                 |                       | EP                      | 0 884 880           | A2                                | Sony Corporation                                | 12-16-1998                                       |   |                |
|                                 |                       | EP                      | 0 967 757           | A2                                | Sony Corporation                                | 12-29-1999                                       |   |                |
|                                 |                       |                         |                     |                                   |   |  |   |                |
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| <b>OTHER ART - NON PATENT LITERATURE DOCUMENTS</b> |                       |  |                |
|--|-----------------------|--|----------------|
| Examiner Initials*                                 | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|  |                       | MITRA, N., "Efficient Encoding Rules for ASN/1-Based Protocols", AT&T Technical Journal, Vol. 73, No. 3, New York, US, pp. 80-93 (May/June 1994).  |                |
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| Examiner Signature |  | Date Considered | 12/23/04 |
|--------------------|--|-----------------|----------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.